



Seth Eric Shalov

MAI Capital Management, LLC

1360 East 9th St, Suite 1100
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(216) 920-4800

Form ADV, Part 2B Brochure Supplement

May 24, 2021

This brochure supplement provides information about Seth Eric Shalov that supplements the MAI Capital Management, LLC brochure. You should have already received a copy of that brochure. Please contact our office at (216) 920-4800 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about Seth Eric Shalov is also available on the SEC's website at www.adviserinfo.sec.gov.

SETH ERIC SHALOV

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Seth Eric Shalov, born in 1959, is a Managing Director and Senior Portfolio Manager

- Education: Rutgers University, BA in Political Science
- Experience: Mr. Shalov is a Senior Portfolio Manager in the Investment Group. He joined MAI in 2007 as a result of the acquisition of McCormack Advisors International by BC Investment Partners. He was at BC Investment Partners LLC where he was Risk Manager for a private partnership. Before that, he was Director of Proprietary Trading and Risk Management for a prominent New York family office. He also had been Managing Partner and Chief Investment Officer of DaVinci Capital. Seth spent 11 years at Bank of Tokyo Trust, which culminated with him serving as Chief Investment Officer responsible for over \$1.5 billion in assets and being a member of the bank's Global Asset Allocation team. Currently he serves on the Board of Directors of the Northern Ohio Chapter of the USO. Seth is a member of MAI's investment committee.

ITEM 3 - DISCIPLINARY INFORMATION

Seth Shalov has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Seth Shalov's primary business is providing investment advice through MAI Capital Management, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

Seth Shalov's primary compensation comes from his regular salary and ownership of MAI Capital Management, LLC. In addition, he is eligible and may receive up to 25% of a new client's first year management fees for clients he refers to the firm.

ITEM 6 - SUPERVISION

John Zaller, Chief Investment Officer and Senior Managing Director, is responsible for supervising Seth Shalov's activities. John monitors the advice provided by Seth for consistency with client objectives and MAI Capital Management, LLC's policies. John Zaller can be reached by calling (216) 920-4800.



Kurt David Nye, Jr., CFA

MAI Capital Management, LLC

1360 East 9th St, Suite 1100
Cleveland, OH 44114-1782
(216) 920-4800

Form ADV, Part 2B Brochure Supplement

June 15, 2021

This brochure supplement provides information about Kurt David Nye, Jr. that supplements the MAI Capital Management, LLC brochure. You should have already received a copy of that brochure. Please contact our office at (216) 920-4800 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about Kurt David Nye, Jr. is also available on the SEC's website at www.adviserinfo.sec.gov.

KURT DAVID NYE, JR., CFA

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Kurt David Nye, Jr., CFA born in 1985, is a Senior Portfolio Manager and Managing Director

- Education: Ohio State University, BS in Economics and University of Chicago Booth School of Business, MBA with concentrations in Analytic Finance, Entrepreneurship, and Finance.
- Experience: Mr. Nye is a Senior Portfolio Manager in the Investment Group. He joined MAI in 2011 bringing 3 years of experience to the Investment Group. Previously, Mr. Nye was an Analyst at the Townsend Group. Prior to that, Kurt was a Senior Financial Analyst at Capital One. He is a member of the CFA Society of Cleveland.

Professional Designations

Chartered Financial Analyst

The Chartered Financial Analyst (“CFA”) designation is sponsored by CFA Institute. To earn a CFA charter, candidates must have four years of qualified investment work experience, become a member of the CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program. The CFA Program is organized into three levels, each culminating in a six-hour exam. The three proctored course exams correspond to three 250-hour self-study levels. Completing the Program takes most candidates between two and five years. More information regarding the CFA is available at <https://www.cfainstitute.org>.

ITEM 3 - DISCIPLINARY INFORMATION

Kurt Nye, Jr. has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Kurt Nye’s primary business is providing investment advice through MAI Capital Management, LLC. In addition, Kurt also serves as a Board Member for the United Way of Greater Cleveland’s Philanthropic Fund assisting in reviewing investment portfolios and attending board meetings 1-5 hours per month outside trading hours.

ITEM 5 - ADDITIONAL COMPENSATION

Kurt Nye’s primary compensation comes from his regular salary and ownership of MAI Capital Management, LLC.

ITEM 6 – SUPERVISION

John Zaller, CIO, is responsible for supervising Kurt Nye's activities. John monitors the advice provided by Kurt for consistency with client objectives and MAI Capital Management, LLC's policies. John Zaller can be reached by calling (216) 920-4990.



Richard James Buoncore

MAI Capital Management, LLC

1360 East 9th St, Suite 1100
Cleveland, OH 44114-1782
(216) 920-4800

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May 24, 2021

This brochure supplement provides information about Richard (Rick) James Buoncore that supplements the MAI Capital Management, LLC brochure. You should have already received a copy of that brochure. Please contact our office at (216) 920-4800 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about Richard James Buoncore is also available on the SEC's website at www.adviserinfo.sec.gov.

RICHARD JAMES BUONCORE

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Richard James Buoncore, born in 1956, is the Managing Partner of MAI

- Education: Fordham University, BS in Accounting
- Experience: Mr. Buoncore is the firm's Managing Partner and joined MAI in 2007 as a result of the acquisition of McCormack Advisors International by BC Investment Partners. He served as Managing Partner of BC Investment Partners LLC from 2005 to 2007. Prior to that, he was Chief Executive Officer for Victory Capital Management ("Victory"), a subsidiary of Keycorp, from 1999 to 2005. He joined the firm in 1991 as Managing Director and CFO for Spears, Benzak, Salomon & Farrell, Inc. ("SBSF"), a New York based investment management firm, which was acquired by Keycorp in 1995. He also served as SBSF's cable, cellular, media, and telecommunications analyst and was the Chief Operating Officer of SBSF from 1995 to 1999. He was a CPA at KPMG from 1978 until 1985 as an audit manager, specializing in Real Estate. Thereafter, he worked for Shearson Lehman Brothers from 1985 to 1991 as Senior Vice President in the Investment Banking Division of Lehman Brothers specializing in cable, cellular, and other media industry transactions. Rick is a member of MAI's investment committee.

ITEM 3 - DISCIPLINARY INFORMATION

Richard Buoncore has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Richard Buoncore's primary business is providing investment advice through MAI Capital Management, LLC. In addition, Rick serves as the Chairman of the Investment Committee for the United Way of Greater Cleveland's Philanthropic Fund assisting in the review of investment portfolios and attending board meetings 1-5 hours per month outside trading hours.

ITEM 5 - ADDITIONAL COMPENSATION

Richard Buoncore's compensation comes from his regular salary and ownership of MAI Capital Management, LLC. In addition, Rick is eligible and may receive up to 25% of a new client's first year management fees for clients he refers to the firm.

ITEM 6 - SUPERVISION

Richard Buoncore is the Managing Partner of MAI Capital Management, LLC and his advisory activities are not supervised by any other person.